

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Attorney Docket No. 06078
INTERNATIONAL APPLICATION NO. PCT/GB2004/005203		U.S. Application No. (if known, - 10/582473
INTERNATIONAL FILING DATE 13 DEC 2004		PRIORITY DATES CLAIMED 12 DEC 2003 22 MAR 2004
TITLE OF INVENTION A METHOD OF ENGINEERING PARTICLES FOR USE IN THE DELIVERY OF DRUGS VIA INHALATION		
APPLICANT(S) FOR DO/EO/US Joseph OKPALA		
Applicant herewith submits to the United States Designated Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (only if not required by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> A Declaration of Inventorship filed in the International application as Sheet 5 of the Request.</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> As assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English translation of the international application under 35 USC 154(d)(4).</li> <li>20. <input type="checkbox"/> Other items or information:</li> </ol>		

Customer No.

23338

PATENT AND TRADEMARK OFFICE

US APPLICATION NO. (if known) <b>10/582473</b>		INTERNATIONAL APPLICATION NO. PCT/GB2004/005203		ATTORNEY'S DOCKET NO. 06078	
<input checked="" type="checkbox"/> The following fees are submitted:					
21. <input checked="" type="checkbox"/> Basic National Fee		\$300.00	\$300.00		
22. <input checked="" type="checkbox"/> Examination Fee			\$200.00		
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy PCT Article 33(1)-(4)		\$0			
All other situations		\$200.00			
23. <input checked="" type="checkbox"/> Search Fee			\$400.00		
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy PCT Article 33(1)-(4)		\$0			
Search fee paid on international application to USPTO as ISA		\$100.00			
International Search Report prepared by ISA other than US and provided to or previously communicated to US by IB		\$400.00			
All other situations		\$500.00			
TOTAL OF 21, 22 and 23			\$900.00		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is \$250 for each additional 50 pages or fraction thereof.			\$		
Surcharge of \$130.00 for furnishing oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$130.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	35 -20=	15	X \$50.00	\$750.00	
Independent Claims	1 -3=	2	X \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			\$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =			\$1780.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$890.00		
SUBTOTAL =			\$890.00		
Processing fee of \$130.00 for furnishing English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE =			\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).			\$		
TOTAL FEES ENCLOSED =			\$890.00		
			Amount to be refunded:	\$	
			charged:	\$	

- a. ☐ A check in the amount of \$ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 04-0753 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0753. A duplicate copy of this sheet is enclosed.
- d. ☒ A payment of \$890.00 is made by credit card. A Credit Card Payment Form (PTO-2038) is attached hereto. The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or any patent application processing fees under 37 CFR 1.17, or credit any over payment to the credit card account shown on the attached Credit Card Payment Form. Refund of all amounts overpaid, including those of twenty-five dollars or less, is specifically requested. Any fees not accepted by the credit card shown on Form PTO-2038 may be charged to Deposit Account No. 04-0753.

**PLEASE ASSOCIATE THIS APPLICATION WITH CUSTOMER NUMBER 23338 AND CONDUCT CORRESPONDENCE WITH THE UNDERSIGNED ATTORNEY.**

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*Malcolm J. MacDonald*

June 12, 2006

SIGNATURE

Malcolm J. MacDonald

40250

NAME

REGISTRATION NUMBER